

**Summary of Internal Audit Service Work – 14 September to 6 November 2017**

**Appendix 1**

**Assurance Audits**

<b><u>Department</u></b>	<b><u>Entity</u></b>	<b><u>Final report (or position at 6/11)</u></b>	<b><u>Opinion</u></b>	<b><u>HI Rec'n</u></b>
Adults & Communities	Adult Learning Offices – financial procedures	Draft	Substantial	No
Children & Family Services	Imprest Accounts in Localities	Draft	Substantial	No
Children & Family Services	St Peter's Catholic Primary School	22-Sep-17	Substantial	No
Children & Family Services	St Paul's CE Primary School	28-Sep-17	Substantial	No
Children & Family Services	Thythorn Field Primary School	27-Sep-17	Substantial	No
Children & Family Services	Badgerbrook Primary School	29-Sep-17	Substantial	No

**Consulting/advisory audits**

<b><u>Department</u></b>	<b><u>Entity</u></b>	<b><u>Final report (or position at 6/11)</u></b>
Consolidated Risk	GDPR Readiness Audit – input to plan & testing	Ongoing
Consolidated Risk	Public Service Network (PSN) Accreditation – review plan	Ongoing
Corporate Resources	Fit for the Future (Oracle replacement project)	Ongoing
Corporate Resources	ICT Policies & Procedures – input/commentary x 5	Ongoing
Corporate Resources	Wide Area Network (WAN) Replacement Project - governance	Ongoing

**Investigations**

<b><u>Department</u></b>	<b><u>Entity</u></b>	<b><u>Final report (or position at 6/11)</u></b>
		None closed this cycle

**Grants and other Government returns**

<b><u>Department</u></b>	<b><u>Entity</u></b>	<b><u>Final report (or position at 6/11)</u></b>
Environment & Transport	Local Authority Bus Subsidy Ring-Fenced (Revenue) Grant	Complete

**Other control environment/assurance work**

<b><u>Department</u></b>	<b><u>Entity</u></b>	<b><u>Final report (or position at 6/11)</u></b>
Adults & Communities	Service Users' Personal Property Working Group	Complete
Consolidated Risk	Counter Fraud – proactive comms; zero-tolerance; money laundering advice	Ongoing
Consolidated Risk	Historical Case File Management Working Group	Ongoing
Consolidated Risk	ICO Audit – interviews x 3 and comment on drafts of report	Complete
Consolidated Risk	National Fraud Initiative	Ongoing
Consolidated Risk	Property & Occupants Risk Management Group	Ongoing
Corporate Resources	Credit Risk Management	Ongoing
Environment & Transport	Assistance with quarterly stock checks (2 of 4 complete)	5-Oct-17

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